

Docket No. 259189US90PCT



**MAN STOP PCT**  
JC06 Rec'd PCT/PTO 24 AUG 2005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Motoo ASAI, et al.

SERIAL NO: 10/509,899

GAU:

FILED: October 1, 2004

EXAMINER:

FOR: SUBSTRATE FOR MOUNTING IC CHIP, MANUFACTURING METHOD OF SUBSTRATE FOR MOUNTING IC CHIP, DEVICE FOR OPTICAL COMMUNICATION, AND MANUFACTURING MITHOD OF DEVICE FOR OPTICAL COMMUNICATION

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
259189US90PCTSERIAL NO.  
10/509,899

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT  
Motoo ASAI, et al.FILING DATE  
October 1, 2004

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	2004/0212030	10/28/04	ASAI			
	AB	2004/0047539	03/11/04	OKUBORA et al.			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AC	2002-270860	09/20/02	JP (with English abstract)		NO
	AD	2002-236228	08/23/02	JP (with English abstract)		NO
	AE	2002-236229	08/23/02	JP (with English abstract)		NO
	AF	2002-277664	09/25/02	JP (with English abstract)		NO
	AG	2002-237684	08/23/02	JP (with English abstract)		NO
	AH	2002-250830	09/06/02	JP (with English abstract)		NO
	AI	2004-4426	01/08/04	JP (with English abstract)		NO
	AJ	2004-4427	01/08/04	JP (with English abstract)		NO
	AK	2003-215372	07/30/03	JP (with English abstract)		NO
	AL	2003-46256	02/14/03	JP (with English abstract)		NO
	AM	2003-46021	02/14/03	JP (with English abstract)		NO
	AN	2003-46061	02/14/03	JP (with English abstract)		NO
	AO	2003-101080	04/04/03	JP (with English abstract)		NO
	AP	2003-110245	04/11/03	JP (with English abstract)		NO
	AQ	2002-296435	10/09/02	JP (with English abstract)		NO
	AR	2002-289911	10/04/02	JP (with English abstract)		NO
	AS	2004-4428	01/08/04	JP (with English abstract)		NO
	AT	2002-329891	11/15/02	JP (with English abstract)		NO
	AU	2000-147275	05/26/00	JP (with English abstract & equivalent of US 6334014)		NO
	AV	5-55633	03/05/93	JP (with English abstract)		NO
	AW	2002-331532	11/19/02	JP (with English abstract)		NO
	AX	2002-120230	04/23/02	JP (with English abstract)		NO
	AY					
	AZ					

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U.S. PCT Application Serial No.: 10/509,899  
Docket No.: 259189US90PCT

#### STATEMENT OF RELEVANCY

- 1) References \_\_\_\_\_ have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References \_\_\_\_\_ have been cited in the corresponding \_\_\_\_\_ Search Report. A copy of these references is being submitted herewith.
- 3) References \_\_\_\_\_ are discussed in the specification. A copy of these references is being submitted here with.
- 4) References AB-AX are additional prior art known to Applicant. A copy of these references is being submitted herewith.

**LIST OF RELATED CASES**

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent Appl. Publication No.</u>	<u>Inventor/ Applicant</u>
236940US90	10/419,849	04/22/03	2004/0212030	ASAI
259189US90 PCT*	10/509,899	10/01/04		ASAI et al.

\*Present Application; listed for information

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